

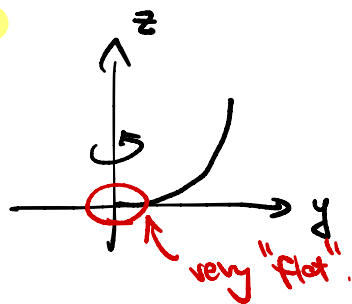
Geometric meaning of Gaussian curvature.

From Midterm:

$$\textcircled{1} \quad S_p = 0, \nu_p \begin{matrix} \nearrow \text{planar} \\ \searrow K(p) = 0 \end{matrix}$$

But $S_p = 0$ at some $p \in S \not\Rightarrow$ planar.

Example:



$$z = y^4.$$

S parametrized by
 $(u, v, (u^2 + v^2)^2)$

at $p = (0, 0, 0)$, $N_p = (1, 0, 0)$ (fixing orientation)

$$\begin{cases} X_u = (1, 0, 4u(u^2 + v^2)) \\ X_v = (0, 1, 4v(u^2 + v^2)) \end{cases} \Rightarrow$$

$$X_{uu} = X_{uv} = X_{vu} = 0 \text{ at } p$$

$$\Downarrow$$

$$K_p = 0 \neq$$

But $S \neq$ plane!!

What is curvature??

prop: let $p \in S$ with $K(p) \neq 0$, let B_n be a seq. of open sets s.t. $\sup_{g \in B_n} |g - p| \rightarrow 0$ as $n \rightarrow \infty$,

$$\text{Then } \lim_{n \rightarrow \infty} \frac{\text{Area}(N(B_n))}{\text{Area}(B_n)} = |K(p)|$$

where $N: S \rightarrow S^2$ is the Gauss map.

pf: Let $X: U \rightarrow S$ be a local parametrization of S at p . Let $X(U_n) = B_n$, then

- $\text{Area}(B_n) = \int_{U_n} \sqrt{\det(g)} \, du \, dv$

- $\text{area}(N(B_n)) = \int_{N(B_n)} dA$ where $N(B_n) \in \mathbb{S}^2$.

now $N \circ X$ is a local parametrization of $N(S)$ s.t.

$$\text{area} = \int_{B_n} \underbrace{\|N_u \times N_v\|}_{\text{where}} \, du \, dv.$$

$$N_u = S_p(X_u) = S_p(\alpha_1 u_1 + \alpha_2 u_2) = \alpha_1 \lambda_1 u_1 + \alpha_2 \lambda_2 u_2$$

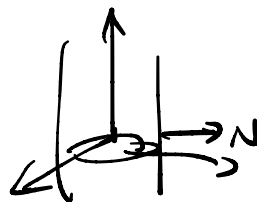
$$\begin{aligned} \Rightarrow N_u \times N_v &= (\lambda_1 \alpha_1 u_1 + \lambda_2 \alpha_2 u_2) \times (\lambda_1 \beta_1 u_1 + \lambda_2 \beta_2 u_2) \\ &= (\lambda_1 \lambda_2 \alpha_1 \beta_2 - \lambda_1 \lambda_2 \alpha_2 \beta_1) u_1 \times u_2 \end{aligned}$$

$$\begin{aligned} \therefore \|N_u \times N_v\| &= |K(p)| \left\| \det \begin{bmatrix} \alpha_1 & \alpha_2 \\ \beta_1 & \beta_2 \end{bmatrix} \right\| \quad (\{u_1, u_2\} = \text{ortho.}) \\ &= |K(p)| \cdot \|X_u \times X_v\|. \end{aligned}$$

$$\therefore \text{Ratio} = \frac{\int_{B_n} |K| \cdot \|X_u \times X_v\|}{\int_{B_n} \|X_u \times X_v\|} \rightarrow |K(p)| \text{ as } n \rightarrow \infty. \quad \#$$

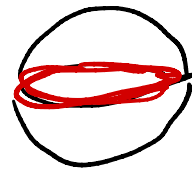
Fig 1: $S = \text{plane} \Rightarrow S_p = 0 \Rightarrow K(p) = 0$

2: $S = \mathbb{S} \times \mathbb{R}$



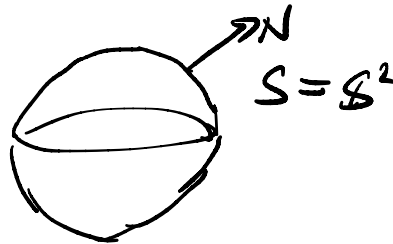
$$S_p = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\Rightarrow N(S) = S^1 \subseteq S^2.$$



and $\text{Area}(N(S)) = 0$ (lower dimension)

$$3: S = S^2$$

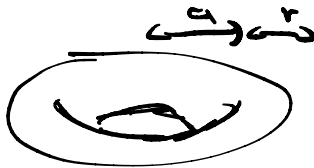


$$\Rightarrow N(p) = p \quad (\text{or } -p)$$

$$\Rightarrow \left\{ \begin{array}{l} K(p) = 1. \end{array} \right.$$

$$\left. \begin{array}{l} \text{Area}(N(S)) = \text{Area}(S) = 4\pi. \end{array} \right\}$$

4: Torus



$$X(u,v) = ((a+r \cos u) \cos v, (a+r \cos u) \sin v, r \sin u)$$

$$\Rightarrow X_u = (-r \sin u \cos v, -r \sin u \sin v, r \cos u)$$

$$X_{uu} = (-r \cos u \cos v, -r \cos u \sin v, -r \sin u)$$

$$X_{uv} = (r \sin u \sin v, -r \sin u \cos v, 0)$$

$$X_v = (-(a+r \cos u) \sin v, (a+r \cos u) \cos v, 0)$$

$$X_{vv} = (-(a+r \cos u) \cos v, -(a+r \cos u) \sin v, 0)$$

$$\Rightarrow [g]_x = \begin{bmatrix} r^2 & 0 \\ 0 & (a+r \cos u)^2 \end{bmatrix} \quad \text{and} \quad \sqrt{\det[g]_x} = r(a+r \cos u).$$

Recall:

$$e = \frac{\langle X_u \times X_v, X_{uu} \rangle}{\sqrt{EG-F^2}}$$

$$= \frac{1}{r(\cos u)} \cdot \begin{vmatrix} -\cancel{r} \sin u \cos v & -\cancel{r} \sin u \sin v & \cancel{r} \cos u \\ -(\cancel{r} \cos u) \sin v & (\cancel{r} \cos u) \cos v & 0 \\ -r \cos u \cos v & -r \cos u \sin v & -r \sin u \end{vmatrix}$$

$$= -r \begin{vmatrix} -\sin u \cos v & -\sin u \sin v & \cos u \\ -\cos v & \cos v & 0 \\ \cos u \cos v & \cos u \sin v & \sin u \end{vmatrix}$$

$$= -r \left(\begin{matrix} \cos u \cdot \begin{vmatrix} -\sin v & \cos v \\ \cos u \cos v & \cos u \sin v \end{vmatrix} \\ + \sin u \begin{vmatrix} -\sin u \cos v & -\sin u \sin v \\ -\cos v & \cos v \end{vmatrix} \end{matrix} \right) = r.$$

Similar to e, f, g

$$\Rightarrow K(p) = \frac{\cos u}{r(\cos u)} \quad \text{while}$$

$$\int_S K \, dA = \int_0^{2\pi} \int_0^{2\pi} \frac{\cos u}{r(\cos u)} \cdot r(\cos u) \, du \, dv$$

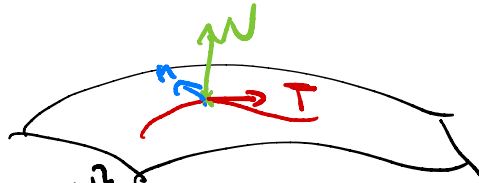
$$= 0 \quad \#$$

Recall :

★ The 2nd f.f. $\mathbb{I}(v, w) = -\langle dN_p(v), w \rangle$, $\forall v, w \in T_p S$, $p \in S$.

Consider a C^∞ curve $\alpha: (-\epsilon, \epsilon) \rightarrow S \subseteq \mathbb{R}^3$.

Then $\alpha' = T$



taking o.n. frame $\{T, n, N\}$ s.t. $n = N \times T$.

$$\Rightarrow T' = \alpha'' \in \text{span}\{n, N\}$$

$$\text{i.e. } \alpha''(s) = \underbrace{k_g(s)}_{\text{geodesic curvature}} \cdot n + \underbrace{k_n(s)}_{\text{normal curvature}} \cdot N$$

observe: If $|\alpha'| = 1$ (arc-length parametrized)

① If $k_g(s) = \text{curvature of } \alpha$ (i.e. $k(s) = |\alpha''|$),

then

$$k_g = \langle \alpha'', N \rangle = k \langle N, N \rangle = k \cos \theta$$

where $\theta = \text{angle between } N, \alpha''$.

$$\begin{aligned} \text{② } k_n &= \langle \alpha'', n \rangle = -\langle \alpha', n' \rangle \\ &= \langle S_p(\alpha'), \alpha' \rangle = \mathbb{I}(\alpha', \alpha') \end{aligned}$$

\Rightarrow prop:

Normal curve of a curve α on S , at $p = \alpha(s)$

depends only on $\alpha'(s)$.

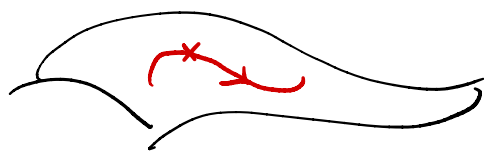
Moreover $k_2 \leq k_n \leq k_1$, where $k_i = \text{principle cur.}$

More generally, let $v \in T_p S$ with $|v| = 1$.

$\Rightarrow v = \cos \theta u_1 + \sin \theta u_2$ for some $\theta \in (0, 2\pi]$
with eigenvector u_1, u_2 of S_p .

$\Rightarrow k_n(p) = \cos^2 \theta k_1 + \sin^2 \theta k_2$ along α st. $\alpha'(0) = v$
at $p = \alpha(0)$

Special curve: If α is a regular curve on S
st. $\alpha'(s) \parallel$ principle direction, for all s
then α is called, a line of curvature of S .



$$S_p(\alpha') = \lambda_t \alpha', \quad \forall t \in I.$$

prop: Regular curve = line of curvature of S

iff $N'(t) = \lambda(t) \cdot \alpha'(t)$ for some differentiable fun

Moreover, in this case $-\lambda(t) =$ principle cur. along $\alpha(t)$.

pf: (\Rightarrow) : $dN_p(\alpha') = \lambda(t) \cdot \alpha'(t)$ for some fun λ .

$\Rightarrow \lambda$ must be differentiable since N, α' are C^∞ on I .

(\Leftarrow) $dN_p(\alpha') = \lambda(t) \alpha'(t)$

$\Rightarrow S_p(\alpha') = -\lambda(t) \cdot \alpha'(t)$ \neq .

Now, Study S using shape operator S_p

Special case: plane $\Rightarrow S_p \equiv 0$

sphere $\Rightarrow S_p = \lambda \text{Id}$.

In general, if $R_1 = R_2$,

then all direction = principle direction (direction of eigen-vec)

$$\Rightarrow S_p = R \cdot \text{Id}.$$

$$\Rightarrow K_p = R^2, \quad H_p = R.$$

Plane: $R = 0$

sphere: $R = \pm 1$ (dep. orientation, size of radius)

prop: Suppose $X: U \rightarrow S$ be a local parametrization of S which is connected. If $S_p = \lambda_p \cdot \text{Id}$, $\forall p \in X(U)$ (umbilical) then $X(U) \subseteq$ plane or sphere.

pf: $\lambda_p = \frac{\langle S_p(X_u), X_u \rangle}{\|X_u\|^2}$ is smooth in $p \in S$.

$\Rightarrow \lambda$ is a differentiable fun on S

Mid term last Que: $\lambda(p) \equiv \lambda_0$, $\forall p \in X(U)$

by showing $\lambda_u = \lambda_v = 0$

Case 1: $\lambda_0 \equiv 0 \Rightarrow N \equiv N_0 \in S^2 \subseteq \mathbb{R}^3$.

$\Rightarrow \langle X - X_0, N_0 \rangle \equiv 0 \Rightarrow$ planar.

Case 2: $\lambda_0 \neq 0 \Rightarrow N_i = dN_p(X_i) = -\lambda_0 X_i$

$\Rightarrow (N + \lambda_0 X)_i = 0, \forall i=1,2$

$\Rightarrow N + \lambda_0 X = \text{const. called } \lambda_0 Y_0 \in \mathbb{R}^3$

$\Leftrightarrow X - Y_0 = \lambda_0^{-1} N$

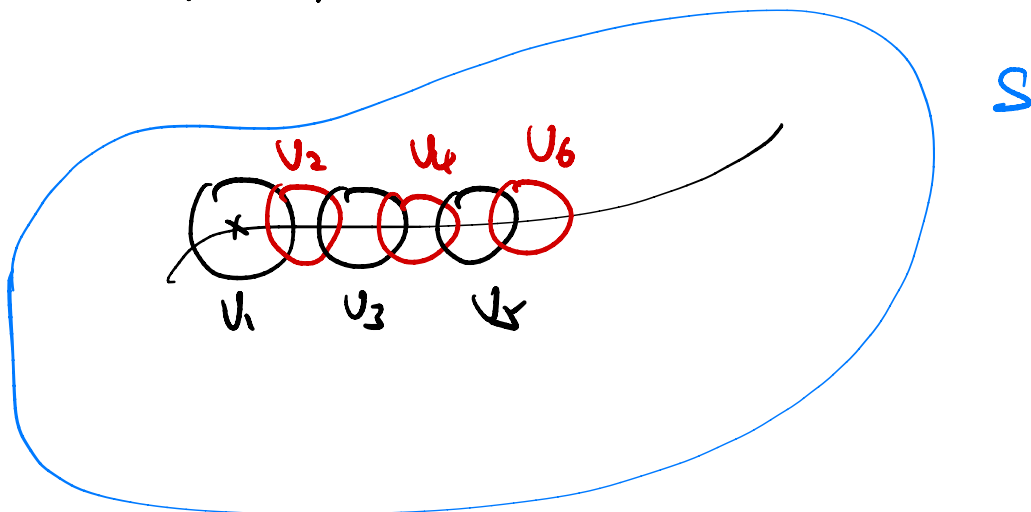
$\therefore X \in \underbrace{Y_0}_{\text{translation}} + \underbrace{\lambda_0^{-1} S^2}_{\text{scaling}} \neq \emptyset$

In general,

Corollary: If $S =$ regular surface, connected, s.t.

$S_p = \lambda_p \cdot \text{Id}, \forall p \in S$, then same is true.

pf:



Case 1: $\lambda_p \equiv 0$ on U_1

let $\Omega = \{p \mid \lambda_p = 0\}$

\nearrow • closed by defn.
 • open by previous
 (topology)
 $\Rightarrow \Omega = S \neq \emptyset$

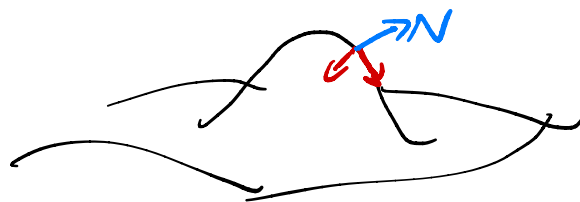
Case 2: $\lambda_p \equiv \lambda_0 \neq 0$ is similar.

Local Structure:

Let $p \in S$, $T_p S = \text{span}\{u_1, u_2\}$ where

$$S_p(u_i) = \lambda_i u_i \quad (\text{the principle direction})$$

and $N = u_1 \times u_2$.



By rotation + translation

assume $\begin{cases} u_1 = (1, 0, 0) \\ u_2 = (0, 1, 0) \end{cases}$, $p = (0, 0, 0)$, $S = \left\{ (x, y, z) \mid z = f(x, y) \right\}$ locally

Then under this coordinate.

prop:

$$f(x, y) = \frac{1}{2} (k_1 x^2 + k_2 y^2) + o(r^2)$$

- \Rightarrow locally
- ① elliptic paraboloid if $S_p = \text{elliptic}$
 - ② hyperbolic paraboloid if $S_p = \text{hyperbolic}$
 - ③ parabolic cylinder if $S_p = \text{parabolic}$

pf $\begin{cases} u_1 = (1, 0, 0) \\ u_2 = (0, 1, 0) \end{cases} \Rightarrow f_x(0, 0) = f_y(0, 0) = 0$

$$X_{ij} = (0, 0, f_{ij}) \quad \text{and} \quad N = \frac{X_1 \times X_2}{\|X_1 \times X_2\|}$$

$$X_1 = (1, 0, f_x) \quad ; \quad X_2 = (0, 1, f_y)$$

$$\Rightarrow N = \frac{(-f_x, -f_y, 1)}{\sqrt{f_x^2 + f_y^2 + 1}}$$

$$\therefore -dN_p(X_x) = S_p(X_x) = -N_x = (\lambda_1, 0, 0)$$

$$= \frac{(f_{xx}, f_{xy}, 0)}{\sqrt{1 + f_x^2 + f_y^2}}$$

$$+ \frac{1}{2} (1 + f_x^2 + f_y^2)^{-3/2} \cdot (2f_x f_{xx} + 2f_y f_{xy})$$

$$(f_x, f_y, 0)$$

$$= (f_{xx}, f_{xy}, 0) \quad \text{at } p.$$

$$\therefore \begin{cases} f_{yy} = 0 & \text{at } p \\ f_{xx} = \lambda_1 \end{cases}$$

Similarly $f_{yy} = \lambda_2$

$$\therefore \text{Taylor series} \Rightarrow f = \frac{1}{2} (\lambda_1 x^2 + \lambda_2 y^2) + o(r^2)$$

λ_1
 λ_2